

ACCELUS CONFLICTS COMPLIANCE PRODUCT NOTES (VERSION 1.1)

The terms contained in these Accelus Conflicts Compliance Product Notes (“Product Notes”) apply to the product known as “Accelus Conflicts Compliance” in addition to the terms of the main body of the Thomson Reuters Governance, Risk and Compliance General Terms and Conditions referred to in the attached Order Form (“General Terms and Conditions”), and the applicable Schedules.

1. DEFINITIONS

1.1. In these Product Notes, the following capitalized terms have the following meanings:

“**Product**” means the product known as “Accelus Conflicts Compliance”, which can be accessed in the following forms;

- Direct Brokerage Feeds
- Online Account Access
- Statement Capture

2. ADDITIONAL APPLICABLE TERMS AND CONDITIONS

2.1. In relation to the Accelus Conflicts Compliance – Direct Brokerage Feeds Product only;

2.1.1. Client shall obtain and maintain all necessary licenses, approvals and consents for TR to receive and process details of the Users’ information, trades and holdings for the duration of this Order Form, and comply with any requirements or conditions (including but not limited to any reporting requirements and payment of fees). Client will provide TR with evidence that they have obtained any required licenses, approvals and consents, upon request at any time.

2.1.2. Client shall inform Users of the transfer of such information to TR.

2.1.3. TR makes no warranty, condition, guarantee, or representation, express or implied, with respect to the direct brokerage feeds, or the quality or accuracy of data captured therein, including any warranty of title, compliance with specification, satisfactory/merchantable quality, or fitness for general or particular purpose.

2.2. In relation to the Accelus Conflicts Compliance – Online Account Access Product only;

2.2.1. The online aggregation service is provided by ByAllAccounts, Inc. (“BAA”) on an “as is” where available.

2.2.2. Client shall obtain and maintain all necessary licenses, approvals and consents for BAA and TR to receive and process details of the Users’ information, trades and holdings via the BAA system for the duration of this Order Form, and comply with any requirements or conditions (including but not limited to any reporting requirements and payment of fees). Client will provide TR with evidence that they have obtained any required licenses, approvals and consents, upon request at any time.

2.2.3. TR makes no warranty, condition, guarantee, or representation, express or implied, with respect to the online aggregation service provided by BAA, or the quality or accuracy of data captured therein, including any warranty of title, compliance with specification, satisfactory/merchantable quality, or fitness for general or particular purpose.

2.3. In relation to the Accelus Conflicts Compliance – Statement Capture Product only;

2.3.1. Client shall obtain and maintain all necessary licenses, approvals and consents for TR to receive and process details of the Users’ information, trades and holdings for the duration of this Order Form, and comply with any requirements or conditions (including but not limited to any reporting requirements and payment of fees). Client will provide TR with evidence that they have obtained any required licenses, approvals and consents, upon request at any time.

2.3.2. Client shall inform Users of the transfer of such information where required to do so by local law.

2.3.3. TR makes no warranty, condition, guarantee, or representation, express or implied, with respect to the quality or accuracy of data captured.